



IPW

Patent Docket No. 5051-606

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Franzen et al.  
Serial No.: 10/669,401  
Filed: September 23, 2003  
For: SURFACE PLASMON RESONANCE SYSTEMS AND METHODS HAVING A  
VARIABLE CHARGE DENSITY LAYER

Group Art Unit: 2878  
Examiner: To Be Assigned  
Confirmation No.: 3963

Date: December 22, 2004

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)**

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

Laura M. Kelley  
Registration No. 48,441

Myers Bigel Sibley & Sajovec, P.A.  
P. O. Box 37428  
Raleigh, North Carolina 27627  
Telephone: (919) 854-1400  
Facsimile: (919) 854-1401  
Customer No. 20792

**Certificate of Mailing under 37 CFR § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 22, 2004.

  
Elizabeth J. Porter

Substitute form 1449A/PTO

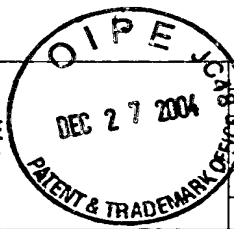
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet	1	of	B1	Attorney Docket Number	5051-606
-------	---	----	----	------------------------	----------

**Complete if Known**

Application Number	10/669,401
Filing Date	September 23, 2003
First Named Inventor	Franzen et al.
Group Art Unit	2878
Confirmation No.:	3963

**U.S. PATENTS AND PATENT PUBLICATIONS**

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
	1.	US-2,340,354		Wells	02/01/44
	2.	US-3,119,355		Gawlitza et al.	01/28/64
	3.	US-3,249,741		Mills	05/03/66
	4.	US-4,363,955		Gauthier et al.	12/14/82
	5.	US-4,421,015		Masters et al.	12/20/83
	6.	US-5,223,290		Alden	06/29/93
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (if known)			
	7.	WO	99/11992		Quadlux, Inc.	03/11/99	
	6.	WO	01/58269		Fourtec B.V.	08/16/02	

**OTHER NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	9.	Copy of International Search Report for PCT/US2004/014329; mailed December 8, 2004.	

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.